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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CEIVED

n re Application of:

DEC 2 4 2003

JASON PETER ANDREW CHARLESWORTH, ET AL.

Technology Center 2600

CHARLES WORTH, ET AL.

Group Art Unit: 2641

Application No.: 09/840,886

Filed: April 25, 2001

For:

DATABASE ANNOTATION

AND RETRIEVAL

December 22, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,737,489
- (2) U.S. Patent No. 5,999,902
- (3) U.S. Patent No. 6,192,337
- (4) U.S. Patent No. 6,535,850
- (5) U.S. Patent No. 6,567,778
- (6) Europe 789,349
- (7) Europe 849,723
- (8) United Kingdom 2,349,260
- (9) WO 00/31723

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(10) Gerber, C., "A General Approach to Speech Recognition", Proceedings of the Final Workshop on Multimedia Information Retrieval (Miro '95), Glasgow, Scotland (September 18-20, 1995) pp. 1-12.

Documents (1) and (3) to (5) were recently cited by the Examiner in a Notice of Allowance issued in U.S. Application Serial No. 09/695,077 cited in the present application in an Information Disclosure Statement dated April 12, 2002.

Documents (2) and (6) to (10) were cited during prosecution of counterpart European patent applications. Copies of the European Search Reports are enclosed.

Inasmuch as this application has not yet received a first Office Action on the merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3).

Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialling the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Registration No. 39,000

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3800 Facsimile: (212) 218-2200

FORM PYO 1449 (modified)  U.S. DEPARTMENT OF CO PATENT AND TRADEMAR	OMMERCE E	ATTY DOCKET NO. 01263.001603.	APPLICATION NO. 09/840,886		
LIST OF REFERENCES CITED BY APPRICANT(S) (Use several sheets if necessary)  DEC 2 3 7003		APPLICANT JASON PETER ANDREW CHARLESWORTH, ET AL.			
		FILING DATE April 25, 2001	GROUP <b>2641</b>		341
U.S. PATENT DOCUMENTS  FILING DATE					
*EXAMINER DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
5,737,489	04/07/98	Chou et al.	395	2.65	
5,999,902	12/07/99	Scahill et al.	704	240	
6,192,337	02/20/01	Ittycheriah et al.	704	239	
6,535,850	03/18/03	Ваууа	704	239	
6,567,778	05/20/03	Chao Chang et al.	704	257	
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FOREIGN PATENT DOCUMENTS					
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
789,349	08/13/97	Europe			
849,723	06/24/98	Europe			
2,349,260	10/25/00	United Kingdom			
00/31723	06/02/00	PCT			
		·			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)					
Gerber, C., "A General Approach to Speech Recognition", <i>Proceedings of the Final Workshop on Multimedia Information Retrieval (Miro '95)</i> , Glasgow, Scotland (September 18-20, 1995) pp. 1-12.					
			<u></u>	<del></del>	
EXAMINER		DATE CONSIDERED			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.